

Solve the system $\begin{cases} y=0 \\ x + (\pi/2)z=0 \\ -y + \pi z=0 \end{cases}$ to obtain only the zero solution: $x=0, y=0, z=0$. Hence f, g , and h are independent.

Method 2. Take the first, second, and third derivatives of $x \sin t + y \cos t + zt=0$ with respect to t to get

$$x \cos t - y \sin t + z = 0 \quad (1)$$

$$-x \sin t - y \cos t = 0 \quad (2)$$

$$-x \cos t + y \sin t = 0 \quad (3)$$

Add (1) and (3) to obtain $z=0$. Multiply (2) by $\sin t$ and (3) by $\cos t$, and then add:

$$\begin{array}{rcl} \sin t \times (2): & -x \sin^2 t - y \sin t \cos t & = 0 \\ \cos t \times (3): & -x \cos^2 t + y \sin t \cos t & = 0 \\ \hline & -x(\sin^2 t + \cos^2 t) & = 0 \quad \text{or} \quad x=0 \end{array}$$

Last, multiply (2) by $-\cos t$ and (3) by $\sin t$; and then add to obtain

$$y(\cos^2 t + \sin^2 t) = 0 \quad \text{or} \quad y=0$$

Since $x \sin t + y \cos t + zt=0$ implies $x=0, y=0, z=0$

f, g , and h are independent.

- 8.27 Show that the vectors $v = (1+i, 2i)$ and $w = (1, 1+i)$ in \mathbb{C}^2 are linearly dependent over the complex field \mathbb{C} but are linearly independent over the real field \mathbb{R} .

| Recall that two vectors are dependent iff one is a multiple of the other. Since the first coordinate of w is 1, v can be a multiple of w iff $v = (1+i)w$. But $1+i \notin \mathbb{R}$; hence v and w are independent over \mathbb{R} . Since $(1+i)w = (1+i)(1, 1+i) = (1+i, 2i) = v$ and $1+i \in \mathbb{C}$, they are dependent over \mathbb{C} .

- 8.28 Let u, v , and w be independent vectors. Show that $u+v$, $u-v$, and $u-2v+w$ are also independent.

| Suppose $x(u+v) + y(u-v) + z(u-2v+w) = 0$ where x, y , and z are scalars. Then $xu + xv + yu - yv + zu - 2zv + zw = 0$ or $(x+y+z)u + (x-y-2z)v + zw = 0$. But u, v , and w are linearly independent; hence the coefficients in the above relation are each 0:

$$\begin{aligned} x+y+z &= 0 \\ x-y-2z &= 0 \\ z &= 0 \end{aligned}$$

The only solution to the above system is $x=0, y=0, z=0$. Hence $u+v$, $u-v$, and $u-2v+w$ are independent.

8.3 THEOREMS ON BASES AND DIMENSION

- 8.29 Define a basis of a vector space V .

| A sequence of vectors $\{u_1, u_2, \dots, u_n\}$ is a basis of V if (1) u_1, u_2, \dots, u_n are linearly independent and (2) u_1, u_2, \dots, u_n span V .

- 8.30 Define the dimension of a vector space V .

| A vector space V is said to be of finite dimension n or to be n -dimensional, written $\dim V = n$, if V contains a basis with n elements. [This definition of dimension is well-defined by Theorem 8.4 which states that any two bases have the same number of elements.]

The vector space $\{0\}$ is defined to have dimension 0. [In a certain sense this agrees with the above definition since, by definition, \emptyset is independent and generates $\{0\}$.] When a vector space is not of finite dimension, it is said to be of infinite dimension.

Lemma 8.1: The nonzero vectors v_1, \dots, v_m are linearly dependent if and only if one of them, say v_i , is a linear combination of the preceding vectors:

$$v_i = a_1 v_1 + \dots + a_{i-1} v_{i-1}$$

8.31 Prove Lemma 8.1.

| Suppose $v_i = a_1 v_1 + \dots + a_{i-1} v_{i-1}$. Then $a_1 v_1 + \dots + a_{i-1} v_{i-1} - v_i + 0v_{i+1} + \dots + 0v_m = 0$ and the coefficient of v_i is not 0. Hence the v_i are linearly dependent.

Conversely, suppose the v_i are linearly dependent. Then there exist scalars a_1, \dots, a_m , not all 0, such that $a_1 v_1 + \dots + a_m v_m = 0$. Let k be the largest integer such that $a_k \neq 0$. Then

$$a_1 v_1 + \dots + a_k v_k + 0v_{k+1} + \dots + 0v_m = 0 \quad \text{or} \quad a_1 v_1 + \dots + a_k v_k = 0$$

Suppose $k=1$; then $a_1 v_1 = 0$, $a_1 \neq 0$, and so $v_1 = 0$. But the v_i are nonzero vectors; hence $k > 1$ and $v_k = -a_k^{-1} a_1 v_1 - \dots - a_k^{-1} a_{k-1} v_{k-1}$. That is, v_k is a linear combination of the preceding vectors.

Theorem 8.2: The nonzero rows R_1, \dots, R_n of a matrix in echelon form are linearly independent.

8.32 Prove Theorem 8.2.

| Suppose $\{R_n, R_{n-1}, \dots, R_1\}$ is dependent. Then one of the rows, say R_m , is a linear combination of the preceding rows:

$$R_m = a_{m+1} R_{m+1} + a_{m+2} R_{m+2} + \dots + a_n R_n \quad (1)$$

Now suppose the k th component of R_m is its first nonzero entry. Then, since the matrix is in echelon form, the k th components of R_{m+1}, \dots, R_n are all 0, and so the k th component of (1) is $a_{m+1} \cdot 0 + a_{m+2} \cdot 0 + \dots + a_n \cdot 0 = 0$. But this contradicts the assumption that the k th component of R_m is not 0. Thus R_1, \dots, R_n are independent.

8.33 Suppose $\{v_1, \dots, v_m\}$ spans a vector space V and suppose $w \in V$. Show that $\{w, v_1, \dots, v_m\}$ is linearly dependent and spans V .

| The vector w is a linear combination of the v_i since $\{v_i\}$ spans V . Accordingly, $\{w, v_1, \dots, v_m\}$ is linearly dependent. Clearly, w with the v_i span V since the v_i by themselves span V . That is, $\{w, v_1, \dots, v_m\}$ spans V .

8.34 Suppose $\{v_1, \dots, v_m\}$ spans a vector space V and suppose v_i is a linear combination of the preceding vectors. Show that $\{v_1, \dots, v_{i-1}, v_{i+1}, \dots, v_m\}$ spans V .

| Suppose $v_i = k_1 v_1 + \dots + k_{i-1} v_{i-1}$. Let $u \in V$. Since $\{v_i\}$ spans V , u is a linear combination of the v_i , say, $u = a_1 v_1 + \dots + a_m v_m$. Substituting for v_i , we obtain

$$\begin{aligned} u &= a_1 v_1 + \dots + a_{i-1} v_{i-1} + a_i (k_1 v_1 + \dots + k_{i-1} v_{i-1}) + a_{i+1} v_{i+1} + \dots + a_m v_m \\ &= (a_1 + a_i k_1) v_1 + \dots + (a_{i-1} + a_i k_{i-1}) v_{i-1} + a_{i+1} v_{i+1} + \dots + a_m v_m \end{aligned}$$

Thus $\{v_1, \dots, v_{i-1}, v_{i+1}, \dots, v_m\}$ spans V . In other words, we can delete v_i from the spanning set and still retain a spanning set.

Lemma 8.3 ("Replacement" Lemma): Suppose $\{v_1, \dots, v_n\}$ spans a vector space V and $\{w_1, \dots, w_m\}$ is linearly independent. Then $m \leq n$ and V is spanned by a set of the form $\{w_1, \dots, w_m, v_1, \dots, v_{n-m}\}$. Thus, in particular, any $n+1$ or more vectors in V are linearly dependent.

8.35 Prove Lemma 8.3.

□ It suffices to prove the theorem in the case that the v_i are all not 0. (Prove!) Since the $\{v_i\}$ generates V , we have, by Problem 8.33, that

$$\{w_1, v_1, \dots, v_n\} \quad (1)$$

is linearly dependent and also generates V . By Lemma 8.1, one of the vectors in (1) is a linear combination of the preceding vectors. This vector cannot be w_1 , so it must be one of the v_i 's, say v_j . Thus by the preceding problem we can delete v_j from the generating set (1) and obtain the generating set

$$\{w_1, v_1, \dots, v_{j-1}, v_{j+1}, \dots, v_n\} \quad (2)$$

Now we repeat the argument with the vector w_2 . That is, since (2) generates V , the set

$$\{w_1, w_2, v_1, \dots, v_{j-1}, v_{j+1}, \dots, v_n\} \quad (3)$$

is linearly dependent and also generates V . Again by Lemma 8.1, one of the vectors in (3) is a linear combination of the preceding vectors. We emphasize that this vector cannot be w_1 or w_2 since $\{w_1, \dots, w_m\}$ is independent; hence it must be one of the v_i 's, say v_k . Thus by the preceding problem we can delete v_k from the generating set (3) and obtain the generating set

$$\{w_1, w_2, v_1, \dots, v_{j-1}, v_{j+1}, \dots, v_{k-1}, v_{k+1}, \dots, v_n\}.$$

We repeat the argument with w_3 and so forth. At each step we are able to add one of the w 's and delete one of the v 's in the generating set. If $m \leq n$, then we finally obtain a generating set of the required form:

$$\{w_1, \dots, w_m, v_1, \dots, v_{n-m}\}$$

Last, we show that $m > n$ is not possible. Otherwise, after n of the above steps, we obtain the generating set $\{w_1, \dots, w_n\}$. This implies that w_{n+1} is a linear combination of w_1, \dots, w_n which contradicts the hypothesis that $\{w_i\}$ is linearly independent.

Theorem 8.4: Let V be a finite-dimensional vector space. Then every basis of V has the same number of vectors.

8.36 Prove Theorem 8.4 (a basic result of linear algebra).

□ Suppose $\{e_1, e_2, \dots, e_n\}$ is a basis of V and $\{f_1, f_2, \dots\}$ is another basis of V . Since $\{e_i\}$ generates V , the basis $\{f_1, f_2, \dots\}$ must contain n or less vectors, or else it is dependent by the preceding problem. On the other hand, if the basis $\{f_1, f_2, \dots\}$ contains less than n vectors, then $\{e_1, \dots, e_n\}$ is dependent by the preceding problem. Thus the basis $\{f_1, f_2, \dots\}$ contains exactly n vectors, and so the theorem is true.

8.37 Define a maximal independent subset of a set S of vectors in V .

□ A subset $\{v_1, \dots, v_m\}$ of S is a maximal independent subset of S if it is independent and if, for any $w \in S$, the set $\{v_1, \dots, v_m, w\}$ is dependent.

Theorem 8.5: Suppose $\{v_1, \dots, v_m\}$ is a maximal independent subset of a set S where S spans a vector space V . Then $\{v_1, \dots, v_m\}$ is a basis of V .

8.38 Prove Theorem 8.5.

□ Suppose $w \in S$. Then, since $\{v_i\}$ is a maximal independent subset of S , $\{v_1, \dots, v_m, w\}$ is dependent. Thus w is a linear combination of the v_i , that is, $w \in \text{span}(v_i)$. Hence $S \subseteq \text{span}(v_i)$. This leads to $V = \text{span}(S) \subseteq \text{span}(v_i) \subseteq V$. Thus $\{v_i\}$ spans V and, since it is independent, it is a basis of V .

8.39 Suppose V is generated by a finite set S . Show that V is of finite dimension and, in particular, a subset of S is a basis of V .

□ **Method 1.** Of all the independent subsets of S , and there is a finite number of them since S is finite, one of them is maximal. By the preceding problem this subset of S is a basis of V .

Method 2. If S is independent, it is a basis of V . If S is dependent, one of the vectors is a linear combination of the preceding vectors. We may delete this vector and still retain a generating set. We continue this process until we obtain a subset which is independent and generates V , i.e., is a basis of V .

- 8.40 Consider a finite sequence of vectors $S = \{v_1, v_2, \dots, v_n\}$. Let T be the sequence of vectors obtained from S by one of the following "elementary operations": (i) interchange two vectors, (ii) multiply a vector by a nonzero scalar, (iii) add a multiple of one vector to another. Show that S and T generate the same space W . Also show that T is independent if and only if S is independent.

| Observe that, for each operation, the vectors in T are linear combinations of vectors in S . On the other hand, each operation has an inverse of the same type (Prove!); hence the vectors in S are linear combinations of vectors in T . Thus S and T generate the same space W . Also, T is independent if and only if $\dim W = n$, and this is true iff S is also independent.

- 8.41 Let $A = (a_{ij})$ and $B = (b_{ij})$ be row equivalent $m \times n$ matrices over a field K , and let v_1, \dots, v_n be any vectors in a vector space V over K . Let

$$\begin{array}{ll} u_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n & w_1 = b_{11}v_1 + b_{12}v_2 + \dots + b_{1n}v_n \\ u_2 = a_{21}v_1 + a_{22}v_2 + \dots + a_{2n}v_n & w_2 = b_{21}v_1 + b_{22}v_2 + \dots + b_{2n}v_n \\ \vdots & \vdots \\ u_m = a_{m1}v_1 + a_{m2}v_2 + \dots + a_{mn}v_n & w_m = b_{m1}v_1 + b_{m2}v_2 + \dots + b_{mn}v_n \end{array}$$

Show that $\{u_i\}$ and $\{w_i\}$ generate the same space.

| Applying an "elementary operation" of the preceding problem to $\{u_i\}$ is equivalent to applying an elementary row operation to the matrix A . Since A and B are row equivalent, B can be obtained from A by a sequence of elementary row operations; hence $\{w_i\}$ can be obtained from $\{u_i\}$ by the corresponding sequence of operations. Accordingly, $\{u_i\}$ and $\{w_i\}$ generate the same space.

Theorem 8.6: Let v_1, \dots, v_n belong to a vector space V over a field K . Let

$$\begin{array}{l} w_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n \\ w_2 = a_{21}v_1 + a_{22}v_2 + \dots + a_{2n}v_n \\ \vdots \\ w_n = a_{n1}v_1 + a_{n2}v_2 + \dots + a_{nn}v_n \end{array}$$

where $a_{ij} \in K$. Let P be the n -square matrix of coefficients, i.e., let $P = (a_{ij})$.

- (i) Suppose P is invertible. Then $\{w_i\}$ and $\{v_i\}$ span the same space; hence $\{w_i\}$ is independent if and only if $\{v_i\}$ is independent.
- (ii) Suppose P is not invertible. Then $\{w_i\}$ is dependent.
- (iii) Suppose $\{w_i\}$ is independent. Then P is invertible.

- 8.42 Prove (i) of Theorem 8.6: Suppose P is invertible. Then $\text{span}(w_i) = \text{span}(v_i)$; hence $\{w_i\}$ is independent if and only if $\{v_i\}$ is independent.

| Since P is invertible, it is row equivalent to the identity matrix I . Hence by the preceding problem $\{w_i\}$ and $\{v_i\}$ generate the same space. Thus one is independent if and only if the other is.

- 8.43 Prove (ii) of Theorem 8.6: Suppose P is not invertible. Then $\{w_i\}$ is dependent.

| Since P is not invertible, it is row equivalent to a matrix with a zero row. This means that $\{w_i\}$ generates a space which has a generating set of less than n elements. Thus $\{w_i\}$ is dependent.

- 8.44 Prove (iii) of Theorem 8.6: Suppose $\{w_i\}$ is independent. Then P is invertible.

| This is the contrapositive of the statement of (ii) and so it follows from (ii).

- 8.45 Let K be a subfield of a field L and L a subfield of a field E ; that is, $K \subset L \subset E$. [Hence K is a subfield of E .] Suppose that E is of dimension n over L and L is of dimension m over K . Show that E is of dimension mn over K .

■ Suppose $\{v_1, \dots, v_n\}$ is a basis of E over L and $\{a_1, \dots, a_m\}$ is a basis of L over K . We claim that $\{a_i v_j; i = 1, \dots, m, j = 1, \dots, n\}$ is a basis of E over K . Note that $\{a_i v_j\}$ contains mn elements.

Let w be any arbitrary element in E . Since $\{v_1, \dots, v_n\}$ generates E over L , w is a linear combination of the v_i with coefficients in L :

$$w = b_1 v_1 + b_2 v_2 + \dots + b_n v_n \quad b_i \in L \quad (1)$$

Since $\{a_1, \dots, a_m\}$ generates L over K , each $b_i \in L$ is a linear combination of the a_j with coefficients in K :

$$\begin{aligned} b_1 &= k_{11} a_1 + k_{12} a_2 + \dots + k_{1m} a_m \\ b_2 &= k_{21} a_1 + k_{22} a_2 + \dots + k_{2m} a_m \\ &\vdots \\ b_n &= k_{n1} a_1 + k_{n2} a_2 + \dots + k_{nm} a_m \end{aligned}$$

where $k_{ij} \in K$. Substituting in (1), we obtain

$$\begin{aligned} w &= (k_{11} a_1 + \dots + k_{1m} a_m) v_1 + (k_{21} a_1 + \dots + k_{2m} a_m) v_2 + \dots + (k_{n1} a_1 + \dots + k_{nm} a_m) v_n \\ &= k_{11} a_1 v_1 + \dots + k_{1m} a_m v_1 + k_{21} a_1 v_2 + \dots + k_{2m} a_m v_2 + \dots + k_{n1} a_1 v_n + \dots + k_{nm} a_m v_n \\ &= \sum_{i,j} k_{ij} (a_i v_j) \end{aligned}$$

where $k_{ij} \in K$. Thus w is a linear combination of the $a_i v_j$ with coefficients in K ; hence $\{a_i v_j\}$ generates E over K .

The proof is complete if we show that $\{a_i v_j\}$ is linearly independent over K . Suppose, for scalars $x_{ij} \in K$, $\sum_{i,j} x_{ij} (a_i v_j) = 0$; that is,

$$\begin{aligned} &(x_{11} a_1 v_1 + x_{12} a_2 v_1 + \dots + x_{1m} a_m v_1) + \dots + (x_{n1} a_1 v_n + x_{n2} a_2 v_n + \dots + x_{nm} a_m v_n) = 0 \\ \text{or} \quad &(x_{11} a_1 + x_{12} a_2 + \dots + x_{1m} a_m) v_1 + \dots + (x_{n1} a_1 + x_{n2} a_2 + \dots + x_{nm} a_m) v_n = 0 \end{aligned}$$

Since $\{v_1, \dots, v_n\}$ is linearly independent over L and since the above coefficients of the v_i belong to L , each coefficient must be 0:

$$x_{11} a_1 + x_{12} a_2 + \dots + x_{1m} a_m = 0, \quad \dots, \quad x_{n1} a_1 + x_{n2} a_2 + \dots + x_{nm} a_m = 0$$

But $\{a_1, \dots, a_m\}$ is linearly independent over K ; hence since the $x_{ij} \in K$,

$$x_{11} = 0, \quad x_{12} = 0, \quad \dots, \quad x_{1m} = 0, \quad \dots, \quad x_{n1} = 0, \quad x_{n2} = 0, \quad \dots, \quad x_{nm} = 0$$

Accordingly, $\{a_i v_j\}$ is linearly independent over K and the theorem is proved.

8.4 BASES AND DIMENSION

8.46 What is meant by the usual basis of the vector space \mathbb{R}^n ?

■ Consider the following n vectors in \mathbb{R}^n :

$$e_1 = (1, 0, 0, \dots, 0, 0), \quad e_2 = (0, 1, 0, \dots, 0, 0), \quad \dots, \quad e_n = (0, 0, \dots, 0, 1)$$

These vectors are linearly independent and span \mathbb{R}^n . [See Problem 8.49.] Thus the vectors form a basis of \mathbb{R}^n called the *usual basis* of \mathbb{R}^n .

8.47 Show that $\dim \mathbb{R}^n = n$.

■ The above usual basis of \mathbb{R}^n has n vectors; hence $\dim \mathbb{R}^n = n$.

8.48 Let U be the vector space of all 2×3 matrices over a field K . Show that $\dim U = 6$.

■ The following six matrices,

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

are linearly independent and span U , and hence form a basis of U . [See Problem 8.49.] Thus $\dim U = 6$.

- 8.49 Let V be the vector space of $m \times n$ matrices over a field K . Let $E_{ij} \in V$ be the matrix with 1 as the ij -entry and 0 elsewhere. Show that $\{E_{ij}\}$ is a basis of V . Thus $\dim V = mn$. [This basis is called the usual basis of V .]

| We need to show that $\{E_{ij}\}$ spans V and is independent. Let $A = (a_{ij})$ be any matrix in V . Then $A = \sum_{i,j} a_{ij} E_{ij}$. Hence $\{E_{ij}\}$ spans V .

Now suppose that $\sum_{i,j} x_{ij} E_{ij} = 0$ where the x_{ij} are scalars. The ij -entry of $\sum_{i,j} x_{ij} E_{ij}$ is x_{ij} , and the ij -entry of 0 is 0. Thus $x_{ij} = 0$, $i = 1, \dots, m$, $j = 1, \dots, n$. Accordingly the matrices E_{ij} are independent.

Thus $\{E_{ij}\}$ is a basis of V .

Remark: Viewing a vector in K^n as a $1 \times n$ matrix, we have shown by the above result that the usual basis of \mathbb{R}^n defined in Problem 8.46 is a basis of \mathbb{R}^n .

Theorem 8.7: Suppose $\dim V = n$; say $\{e_1, \dots, e_n\}$ is a basis of V . Then

- (i) Any set of $n + 1$ or more vectors is linearly dependent.
- (ii) Any linearly independent set is part of a basis.
- (iii) A linearly independent set with n elements is a basis.

- 8.50 Prove (i) of Theorem 8.7: Any set of $n + 1$ or more vectors is linearly dependent.

| Since $\{e_1, \dots, e_n\}$ generates V , any $n + 1$ or more vectors is dependent by Lemma 8.3.

- 8.51 Prove (ii) of Theorem 8.7: Any linearly independent set is part of a basis.

| Suppose $\{v_1, \dots, v_r\}$ is independent. By Lemma 8.3, V is generated by a set of the form $S = \{v_1, \dots, v_r, e_1, \dots, e_n\}$. By the preceding problem, a subset of S is a basis. But S contains n elements and every basis of V contains n elements. Thus S is a basis of V and contains $\{v_1, \dots, v_r\}$ as a subset.

- 8.52 Prove (iii) of Theorem 8.7: A linearly independent set with n elements is a basis.

| By (ii), an independent set T with n elements is part of a basis. But every basis of V contains n elements. Thus, T is a basis.

- 8.53 Show that the following four vectors form a basis of \mathbb{R}^4 : $(1, 1, 1, 1)$, $(0, 1, 1, 1)$, $(0, 0, 1, 1)$, $(0, 0, 0, 1)$.

| The vectors form a matrix in echelon form, and so the vectors are linearly independent. Furthermore, since $\dim \mathbb{R}^4 = 4$, they form a basis of \mathbb{R}^4 .

- 8.54 Determine whether or not each of the following form a basis of \mathbb{R}^3 : (a) $(1, 1, 1)$ and $(1, -1, 5)$; (b) $(1, 2, 3)$, $(1, 0, -1)$, $(3, -1, 0)$, and $(2, 1, -2)$.

| A basis of \mathbb{R}^3 must contain exactly three elements, since $\dim \mathbb{R}^3 = 3$. Therefore, neither the vectors in (a) nor the vectors in (b) form a basis of \mathbb{R}^3 .

- 8.55 Determine whether the vectors $(1, 1, 1)$, $(1, 2, 3)$, $(2, -1, 1)$ form a basis of \mathbb{R}^3 .

| The three vectors form a basis if and only if they are independent. Thus form the matrix whose rows are the given vectors and row reduce to echelon form:

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 2 & 3 \\ 2 & -1 & 1 \end{pmatrix} \quad \text{to} \quad \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \\ 0 & -3 & -1 \end{pmatrix} \quad \text{to} \quad \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \\ 0 & 0 & 5 \end{pmatrix}$$

The echelon matrix has no zero rows; hence the three vectors are independent and so form a basis for \mathbb{R}^3 .

- 8.56 Determine whether $(1, 1, 2)$, $(1, 2, 5)$, $(5, 3, 4)$ form a basis of \mathbb{R}^3 .

| Form the matrix whose rows are the given vectors and row reduce to echelon form:

$$\begin{pmatrix} 1 & 1 & 2 \\ 1 & 2 & 5 \\ 5 & 3 & 4 \end{pmatrix} \quad \text{to} \quad \begin{pmatrix} 1 & 1 & 2 \\ 0 & 1 & 3 \\ 0 & -2 & -6 \end{pmatrix} \quad \text{to} \quad \begin{pmatrix} 1 & 1 & 2 \\ 0 & 1 & 3 \\ 0 & 0 & 0 \end{pmatrix}$$

The echelon matrix has a zero row, i.e., only two nonzero rows; hence the three vectors are dependent and so do not form a basis for \mathbb{R}^3 .

Problems 8.57–8.59 refer to the vector space V of polynomials in t of degree $\leq n$.

8.57 Show that $\{1, t, t^2, \dots, t^n\}$ is a basis of V ; hence $\dim V = n + 1$.

▮ Clearly each polynomial in V is a linear combination of $1, t, \dots, t^{n-1}$ and t^n . Furthermore, $1, t, \dots, t^{n-1}$ and t^n are independent since none is a linear combination of the preceding polynomials. Thus $\{1, t, \dots, t^n\}$ is a basis of V .

8.58 Show that $\{1, t-1, (t-1)^2, \dots, (t-1)^n\}$ is a basis of V .

▮ [Since $\dim V = n + 1$, any $n + 1$ independent polynomials form a basis of V .] Now each polynomial in the sequence $1, 1-t, \dots, (1-t)^n$ is of degree higher than the preceding ones and so is not a linear combination of the preceding ones. Thus the $n + 1$ polynomials $1, 1-t, \dots, (1-t)^n$ are independent and so form a basis of V .

8.59 Determine whether or not $\{1+t, t+t^2, t^2+t^3, \dots, t^{n-1}+t^n\}$ is a basis of V .

▮ The polynomials are linearly independent since each one is of degree higher than the preceding ones. However, the set contains only n elements and $\dim V = n + 1$; hence it is not a basis of V .

8.60 Let V be the vector space of 2×2 symmetric matrices over K . Show that $\dim V = 3$. [Recall that $A = (a_{ij})$ is symmetric iff $A = A^T$ or, equivalently, $a_{ij} = a_{ji}$.]

▮ An arbitrary 2×2 symmetric matrix is of the form $A = \begin{pmatrix} a & b \\ b & c \end{pmatrix}$ where $a, b, c \in K$. [Note that there are three "variables."] Setting (i) $a=1, b=0, c=0$; (ii) $a=0, b=1, c=0$; and (iii) $a=0, b=0, c=1$, we obtain the respective matrices

$$E_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad E_2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad E_3 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

We show that $\{E_1, E_2, E_3\}$ is a basis of V , i.e., that it (1) generates V and (2) is independent.

(1) For the above arbitrary matrix A in V , we have

$$A = \begin{pmatrix} a & b \\ b & c \end{pmatrix} = aE_1 + bE_2 + cE_3$$

Thus $\{E_1, E_2, E_3\}$ generates V .

(2) Suppose $xE_1 + yE_2 + zE_3 = 0$, where x, y, z are unknown scalars. That is, suppose

$$x \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + y \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + z \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} x & y \\ y & z \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

Setting corresponding entries equal to each other, we obtain $x=0, y=0, z=0$. In other words, $xE_1 + yE_2 + zE_3 = 0$ implies $x=0, y=0, z=0$. Accordingly, $\{E_1, E_2, E_3\}$ is independent.

Thus $\{E_1, E_2, E_3\}$ is a basis of V and so the dimension of V is 3.

8.61 Let W be the vector space of 3×3 symmetric matrices over K . Show that $\dim W = 6$ by exhibiting a basis of W . [Recall that $A = (a_{ij})$ is symmetric iff $a_{ij} = a_{ji}$.]

▮ The following six matrices form a basis of W :

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

8.62 What is the dimension of the vector space U of $n \times n$ symmetric matrices over a field K ?

▮ As indicated by Problem 8.61, each element on or above the diagonal corresponds to a basis element; hence $\dim U = n + (n-1) + \dots + 2 + 1 = \frac{1}{2}n(n+1)$.

- 8.63 Let W be the vector space of 3×3 antisymmetric matrices over K . Show that $\dim W = 3$ by exhibiting a basis of W . [Recall that $A = (a_{ij})$ is antisymmetric iff $a_{ij} = -a_{ji}$.]

▮ The following three matrices form a basis of W :

$$\begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix}$$

- 8.64 What is the dimension of the vector space U of $n \times n$ antisymmetric matrices over a field K ?

▮ As indicated by Problem 8.63, each element above the diagonal corresponds to a basis element; hence $\dim U = (n-1) + (n-2) + \cdots + 2 + 1 = \frac{1}{2}n(n-1)$.

- 8.65 Show that the complex field C is a vector space of dimension 2 over the real field R .

▮ We claim that $\{1, i\}$ is a basis of C over R . For if $v \in C$, then $v = a + bi = a \cdot 1 + b \cdot i$ where $a, b \in R$; i.e., $\{1, i\}$ generates C over R . Furthermore, if $x \cdot 1 + y \cdot i = 0$ or $x + yi = 0$, where $x, y \in R$, then $x = 0$ and $y = 0$; i.e., $\{1, i\}$ is linearly independent over R . Thus $\{1, i\}$ is a basis of C over R , and so C is of dimension 2 over R .

- 8.66 Show that the real field R is a vector space of infinite dimension over the rational field Q .

▮ We claim that, for any n , $\{1, \pi, \pi^2, \dots, \pi^n\}$ is linearly independent over Q . For suppose $a_0 \cdot 1 + a_1 \pi + a_2 \pi^2 + \cdots + a_n \pi^n = 0$, where the $a_i \in Q$, and not all the a_i are 0. Then π is a root of the following nonzero polynomial over Q : $a_0 + a_1 x + a_2 x^2 + \cdots + a_n x^n$. But it can be shown that π is a transcendental number, i.e., that π is not a root of any nonzero polynomial over Q . Accordingly, the $n+1$ real numbers $1, \pi, \pi^2, \dots, \pi^n$ are linearly independent over Q . Thus for any finite n , R cannot be of dimension n over Q , i.e., R is of infinite dimension over Q .

- 8.67 Let V be the vector space of ordered pairs of complex numbers over the real field R . Show that V is of dimension 4.

▮ We claim that the following is a basis of V : $B = \{(1, 0), (i, 0), (0, 1), (0, i)\}$. Suppose $v \in V$. Then $v = (z, w)$ where z, w are complex numbers, and so $v = (a + bi, c + di)$ where a, b, c, d are real numbers. Then $v = a(1, 0) + b(i, 0) + c(0, 1) + d(0, i)$. Thus B generates V .

The proof is complete if we show that B is independent. Suppose $x_1(1, 0) + x_2(i, 0) + x_3(0, 1) + x_4(0, i) = (0, 0)$ where $x_1, x_2, x_3, x_4 \in R$. Then

$$(x_1 + x_2 i, x_3 + x_4 i) = (0, 0) \quad \text{and so} \quad \begin{cases} x_1 + x_2 i = 0 \\ x_3 + x_4 i = 0 \end{cases}$$

Accordingly $x_1 = 0, x_2 = 0, x_3 = 0, x_4 = 0$ and so B is independent.

- 8.68 Suppose $\dim V = n$. Show that a generating set with n elements is a basis.

▮ Suppose u_1, u_2, \dots, u_n span V and the vectors are linearly dependent. Then one of them is a linear combination of the others and so may be deleted from the spanning set. Hence V is spanned by $n-1$ vectors. This is impossible since $\dim V = n$. Thus the u_i are linearly independent and hence form a basis of V .

8.5 DIMENSION AND SUBSPACES

Theorem 8.8: Let W be a subspace of an n -dimensional vector space V . Then $\dim W \leq n$. In particular, if $\dim W = n$, then $W = V$.

- 8.69 Prove Theorem 8.8 which gives the basic relationship between the dimension of a vector space V and the dimension of a subspace W of V .

▮ Since V is of dimension n , any $n+1$ or more vectors are linearly dependent. Furthermore, since a basis of W consists of linearly independent vectors, it cannot contain more than n elements. Accordingly, $\dim W \leq n$.